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**Patentanmeldung Nr.    Patent application No.    Demande de brevet n°**

00480102.3

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

**I.L.C. HATTEN-HECKMAN**

DEN HAAG, DEN  
THE HAGUE,    24/01/01  
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**Blatt 2 der Bescheinigung**  
**Sheet 2 of the certificate**  
**Page 2 de l'attestation**

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System and method for enabling the surveillance of network connected devices

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**SYSTEM AND METHOD FOR ENABLING  
THE SURVEILLANCE  
OF NETWORK CONNECTED DEVICES**

**Field of the Invention**

5       The present invention relates generally to theft preven-  
tion of personal computers and other similar computer-like  
devices that are easily removable. It is more particularly  
concerned with those of the personal computers and devices that  
normally connect to a network e.g., a LAN (Local Area Network),  
10 while in use.

## Background of the Invention

Laptop computers and other similar computer-like devices are getting smaller, lighter and more powerful. What makes them appealing to business people also attracts criminals. If there is nothing as frustrating as losing a word processing document or a spreadsheet file, losing a whole computer to theft and its invaluable content such as highly confidential and sensitive business-critical data may be devastating to an organization. In all surveys about computer crime conducted e.g., by insurance companies or some specialized governmental agencies, large companies and organizations that participate to these surveys, are bound to report losses that must be expressed in million of dollars from laptop theft alone. While the trend is a significant increase from year to year analysts agree to say this is just the tip of the iceberg as most laptop computer thefts go actually unreported. Most stolen equipment is never recovered. Thus, vendors of computer security products have responded with a slew of gadgets to deter laptop theft. As far as physical security is concerned there are many devices available on the market for preventing the theft of equipment. These devices include, locks, cabinets, cables, alarms and deterrent products such as warning labels and equipment used to mark components. If alarms do not prevent the theft of equipment they usually act as a deterrent as well as to alert people in the vicinity or a central location that a device has been removed from its usual location. Alarms can either be installed inside the equipment or on the outside. These devices usually emit loud, piercing sounds if the equipment is moved or if the alarm is tampered with. Some alarms are equipped with keys to enable authorized personnel to deactivate them. Apart from the locks that most personal computers come equipped with, there are other devices that can be used to prevent unauthorized removal of the equipment. Many use either adhesive-mounted pads or metal brackets to fasten the computer and other equipment to a desk or table top. These devices are usually manufactured out

of hardened steel. Some use special adhesives and others use bolts. Anchors and cables enable the anchoring of devices to desks. Cables are probably the most common physical security devices and usually the cheapest. They also tend to be the most flexible. Usually, steel cables are passed through metal rings that are attached to the equipment and a desk or table.

Although cables prevent an individual from quickly walking away with a piece of equipment, they can be cut, although not with ordinary tools. If all of this is relatively efficient, if indeed properly enforced, it is far to be convenient. Attaching its laptop through a cable to an immovable object every time one moves in its working place is definitively very inconvenient and tend to be often dismissed hence, not really solving the problem.

On the other hand laptops used in company and organization offices and workplaces (and even at home which tend to become another workplace) are most often, not to say always, permanently connected to some sort of local area network (wired or wireless) or has a permanent link to an intranet or an Internet service provider. Because such links are vital to conduct their work and business all those having to use portable computers and similar devices never miss in practice to first connect to their network e.g., to download their mail or to access some sort of data bases to get updated on their business. Hence, the act of connecting to a network is willingly done since it is the necessary step to obtaining the news and information, and to be kept constantly updated, about its everyday activity.

**Objects of the invention:**

Thus, it is a broad object of the invention to enable a surveillance of a network connected device from the network.

5 It is another object of the invention to issue an alarm to a central surveillance unit whenever a laptop or similar computer-like device is, without notice, disconnected from a network.

10 It is yet another object of the invention to define a log in and log out procedure to permit that a removable computer-like device be reliability monitored while in use and connected to a network.

15 Further objects, features and advantages of the present invention will become apparent to the ones skilled in the art upon examination of the following description in reference to the accompanying drawings. It is intended that any additional advantages be incorporated herein.



## Summary of the Invention

A method and a system for enabling the surveillance of computer-like devices connected to a communications network are disclosed. The communications network includes a Network  
5 Surveillance Server (NSS). Upon joining said communications network, a computer-like device is required to log-in to NSS. Then, NSS polls the device while connected on the communications network so that an alarm can be issued, from NSS, to a  
10 central surveillance unit, if the computer-like device fails responding to polling. Hence, prior to leaving the communications network, the computer-like device is also required to log-out to NSS. Therefore, this allows the computer-like devices to be watched as long as they stay connected onto the communications network.

15

## Brief Description of the Drawings

**Figure 1** illustrates a communications network including a network surveillance server (NSS) per the invention.

**Figure 2** discusses the polling of the computer-like devices from NSS.

**Figure 3** describes the steps of the method according to the invention.

**Figure 4** shows alternate or supplementary steps to the method of the invention where information is collected about the computer-like devices and their users and compared to corresponding records in NSS.

## Detailed Description of the Preferred Embodiment

**Figure 1** illustrates the context in which the invention better applies. On some sort of network [100] e.g., an IP (Internet protocol) LAN (Local Area Network) i.e., operated under the TCP/IP suite of protocols, computer-like pieces of equipment are permanently connected while in use. This may include regular desktop PC's as [110], and much frequently in recent years, laptop computers such as [120, 130] and other similar portable devices like a palmtop [125]. Connection to a network as [100] may as well be achieved through a wireless connection [150] so as to reach e.g., a portable phone [140] running the Wireless Application Protocol (WAP) that permits to get access to Internet applications. Alternatively, the whole network may be a wireless network such as a wireless LAN. Then, the invention adds a compulsory service associated to the network [100] and operated, for example, from a network connected server [160] to which any new user must log in [172] whenever it connects. Conversely, when user [170] wants to leave, prior to disconnecting from the network, it must log out [174] first. Hence, this procedure authorizes the surveillance of all connected pieces of equipment connected at some point of time to the network. This is further discussed in following figure. If one device is disconnected, without having normally log out first, an alarm [185] to a central surveillance unit [180] can thus be issued so as all appropriate actions can be taken.

**Figure 2** illustrates the monitoring of all devices normally connected to the network [200] at any moment. This is done from the server in charge of the surveillance service [260]. This latter polls regularly all registered connected devices such as [220]. Depending on the type of network this may have to be accomplished through the activation of various mechanisms. Over an IP network, this can simply be done by

issuing a so-called 'PING' command to the device that must be  
polled i.e., by performing an ICMP (Internet Control Message  
Protocol) echo request, echo reply test e.g., [265]. The  
polled device, if still connected, is due to respond. An  
5 alternate method for an IP network consists in activating the  
address resolution protocol (ARP) from the network surveil-  
lance server (NSS) [260] so as it can make sure that the  
polled device is still connected since this latter is due to  
respond with its Media Access Control (MAC) address which is  
10 unique. Thus, the surveillance server manages to interrogate  
each connected device and obtain a response from it, e.g., as  
shown in [265] thus, proving that corresponding device [220]  
is indeed still connected.

As far as mobile devices and wireless networks are  
15 concerned [240] the question for NSS is rather to understand  
if device is still in proper hands since this kind of device  
does not actually physically disconnect from a network  
(nothing is unplugged) as with a wired LAN. Monitoring may  
include various methods like checking if mobile stays within a  
20 communication cell [242], or a group of cells, it is normally  
expected to roam in. Also, such a mobile device must identify  
itself through a portal [250] so, an unexpected use of portal  
or use of a different portal may become the indication of  
something that needs to be further checked by NSS before  
25 issuing an alarm. And, for those of the portable or mobile  
devices that are not limited to data only transmission but are  
normally equipped for transmitting voice and even video too,  
NSS may house the proper technology to perform biometric  
checking over the individual [244] actually using the device.  
30 Especially, voice intonation can be checked and used as a  
strong authentication of who is actually using the device.

More generally the more sophisticated of the NSS's, per  
the invention, are devised to not only check if a device is,  
when applicable, actually physically connected to the network,  
35 from which surveillance is exercised, but also to check all

sorts of behaving and biometric data about those that are connected and which can be easily acquired through the network itself, like voice and typing speed on a computer keyboard, so as alarms [285] can be timely reported to the surveillance unit [280]. This way of checking, beyond a simple physical disconnection from network, may require to implement further checking by NSS not to trigger false alarms like having to first call back the registered owner [244] of a mobile device for further checking.

As far as IP networks are concerned the surveillance service as disclosed by the invention may preferably be implemented in a similar way as the Dynamic Host Configuration Protocol (DHCP) of the Internet Engineering Task Force (IETF) as described in RFC 2131, March 1997. While DHCP purpose is to enable individual computers on an IP network to extract their configurations from a server (the 'DHCP' server) that has no exact information about the individual computer that wants to connect until it request this information from the computer itself. At which time this latter is attributed a dynamic IP address for the time of a DHCP lease. Similarly, the invention introduces a NSS or Network Surveillance Server, in charge of watching the computers and devices that desire to connect to the network however, requiring a log in and log out procedure to the network so as they can be watched while connected.

**Figure 3** depicts the steps of the monitoring method according to the invention. It starts when a computer or similar device is joining [300] the network for example by connecting on an Ethernet or Token Ring Local Area Network (LAN). Then, joining computer manages to discover [305] all Network Surveillance Server (NSS) present within the network. This is achieved by methods and techniques known from the art and which depends mainly on the type of network considered. If more than one NSS exist computer must select one NSS server so as it can attempt to log in to it by sending proper credentials [310]. If computer credentials are not accepted log in

process is aborted [316]. However, if accepted, NSS may start polling the computer [320]. If computer is no longer found, which is checked at step [325], an alarm is normally issued [326]. This particular step [325] may be more sophisticated than just issuing an alarm at first non responded interrogation. Among numerous possibilities, to be more flexible, the alarm could only have to be issued e.g., after a certain number of interrogations or after some time has elapsed. If found, as normally expected, the next step is to check if user of the computer has requested to disconnect [330] (wants to log out). If not, polling may go on [331] so as to keep watching the device while connected to the network. Polling is preferably done at regular intervals as set with a timer [340] although any other method can be used as well such as random interval polling or polling rate adjustable depending on the number of connected devices and activity observed over the network. If, as checked at step [330], computer user wants however to disconnect it must prove to NSS that it is entitled to do so by providing the proper credentials [350]. If credentials are accepted, the normal case, NSS stops polling the computer [365] so it can be safely disconnected from the network [370]. However, if credentials were not accepted polling goes on [361] so as, if disconnected, this eventually result in the sending of an alarm [326].

It is worth mentioning here that 'credentials' broadly refers to any method, known from the art, of authenticating a legitimate registered user. This includes simple methods requiring to sign on and sign off with a password or with a Personal Identification Number (PIN) to much more sophisticated ones e.g., implying the possession and the use of a token or smart card and/or the recognition of biometric data such as finger prints through an appropriate reading device.

Also, as already mentioned, the term 'computer' used for illustrating the monitoring method according to the invention must be broadly interpreted as any computer-like device,

possibly also handling voice and video, capable of connecting directly or indirectly to a network housing a NSS.

**Figure 4** are alternate or supplementary steps of the monitoring method described in figure 3 thus, replacing or executed in complement to steps [320, 325]. As already discussed in figure 2, NSS may also check data it collects about the connected device and its user [422]. This ranges from simple geographic location from where a mobile device is calling [242], to the portal [250] through which it connects, plus some biometric data about at least one authorized user of the device such the typing speed over a key board of a laptop or the voice intonation for a cellular phone or a voice-enabled computer. Hence, the data thus collected through the network, can be compared [427] to what is recorded in NSS for the alleged device and registered user(s) so that, if not matching, an alarm can be issued as well.

**Claims:**

What is claimed is:

1. A method for enabling a surveillance of a computer-like device [e.g., 120] connected to a communications network [100], said communications network including a Network Surveillance Server (NSS) [160], said method comprising the steps of:

upon joining said communications network,

requiring said computer-like device to log-in [172] to said NSS;

polling [265], from said NSS, said computer-like device while connected on said communications network, said polling step further including the step of:

issuing an alarm [185], from said NSS, to a central surveillance unit [180] if said computer-like device fails responding to polling;

prior to leaving said communications network,

requiring said computer-like device to log-out [174] to said NSS;

thereby, allowing said computer-like device to be watched while connected to said communications network.

2. The method according to claim 1 wherein more than one said NSS [160] are possibly present in said communications network.

3. The method according to any one of the previous claims wherein said step of requiring said computer-like device to log-in includes the steps of:

upon joining said communications network,

5        discovering at least one said NSS within said communications network [305];  
selecting one of said at least one NSS to perform the surveillance of said computer-like device [310];  
sending credentials to said selected NSS;  
10       thereby, if accepted by said selected NSS [315],  
          completing log-in;  
          however, if not accepted [316],  
          aborting log in.

4. The method according to any one of the previous claims wherein said step of polling includes, in said selected NSS, the step of:

checking if said computer-like device responds [325].

5. The method according to any one of the previous claims wherein said step of requiring said computer-like device to log-out includes the steps of:

20       upon willing to leave said communications network,  
          sending credentials to said selected NSS [350];  
          thereby, if accepted by said NSS [315],  
          stops polling, from said selected NSS, said computer-like  
25       device [365] thus, completing log-out;  
          however, if not accepted [316],  
          keeps polling, from said selected NSS, said computer-like device [361] thus, failing to complete log-out.



6. The method according to any one of the previous claims wherein said polling step is replaced by the step of:

collecting information [422] about said computer-like device and a registered user of said computer-like device.

5 7. The method according to any one of the previous claims wherein said step of checking if said computer-like device responds, is replaced by the step of:

10 comparing if said collected information matches [427] records, in said NSS, about said computer-like device and said registered user.

8. The method according to any one of the previous claims wherein said collecting step [422] and said comparing step [427] are performed on top of said polling step [320] and said checking step [325].

15 9. The method according to any one of the previous claims wherein said communications network is an IP network and said polling step utilizes the IP 'PING' command.

20 10. The method according to any one of the previous claims wherein said communications network is an IP network and said polling step utilizes the IP Address Resolution Protocol (ARP).

11. The method according to any one of the previous claims wherein said computer-like device is a mobile device [240].

12. The method according to any one of the previous claims wherein said computer-like device is voice enabled.

13. The method according to any one of the previous claims wherein said collected information about said registered user includes:

a typing speed over a keyboard;

5 a voice intonation.

14. The method according to any one of the previous claims wherein said collected information about said computer-like device includes:

a current geographic location;

10 an identification of a portal through which said communications network is accessed.

15. The method according to any one of the previous claims wherein said credentials includes:

knowing a personal identification number (PIN);

15 knowing a password

possessing a token or smartcard.

16. A system, in particular a system for enabling the surveillance of computer-like devices connected onto a network, comprising means adapted for carrying out the method according  
20 to any one of the previous claims.

17. A computer-like readable medium comprising instructions for carrying out the method according to any one of the claims 1 to 15.

**SYSTEM AND METHOD FOR ENABLING  
THE SURVEILLANCE  
OF NETWORK CONNECTED DEVICES**

**Abstract**

5       The invention enables the surveillance of computer-like  
devices while they are connected to a communications network.  
This latter includes a Network Surveillance Server (NSS). Upon  
joining said communications network, a device is first  
required to log-in to NSS. After which, NSS polls it while  
10   connected on the network so that an alarm can be issued, from  
NSS, to a central surveillance unit, if the device fails  
responding to polling. Hence, prior to leaving the communica-  
tions network, the computer-like device is also required to  
log-out to NSS. Thus, as long as it is connected to the commu-  
15   nications network, the computer-like device is watched.

**Figure 1.**

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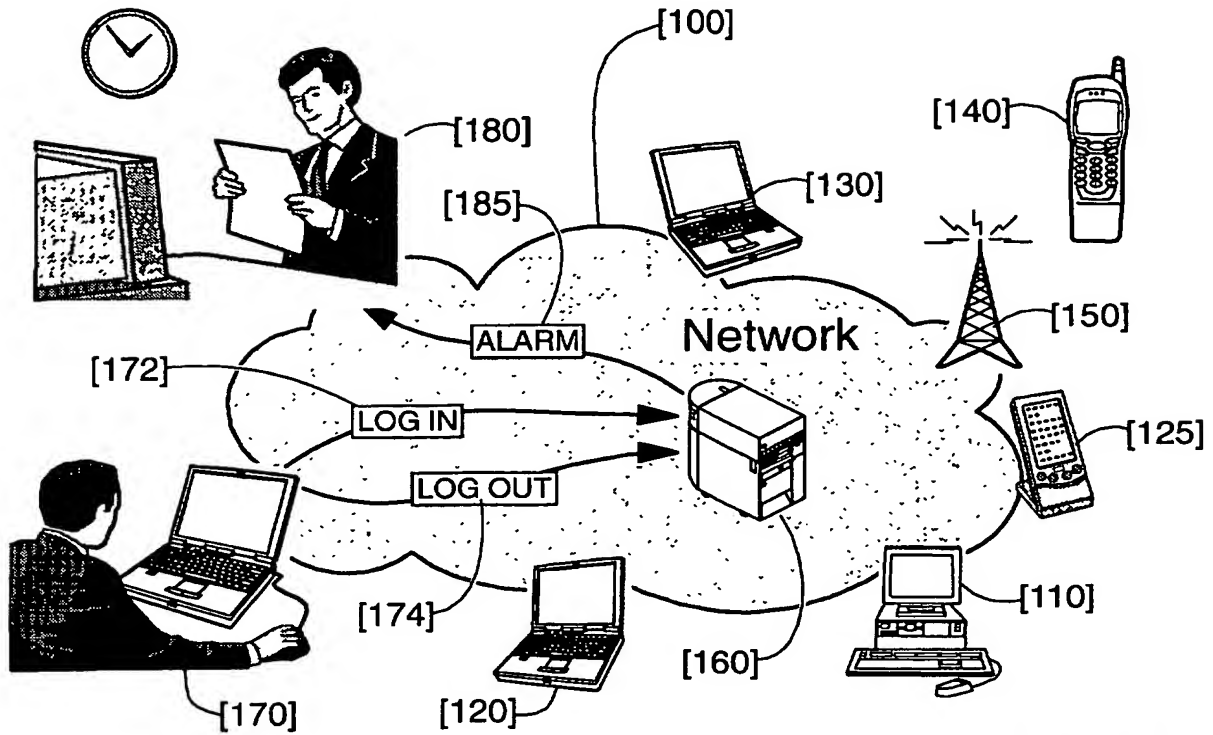


Figure 1

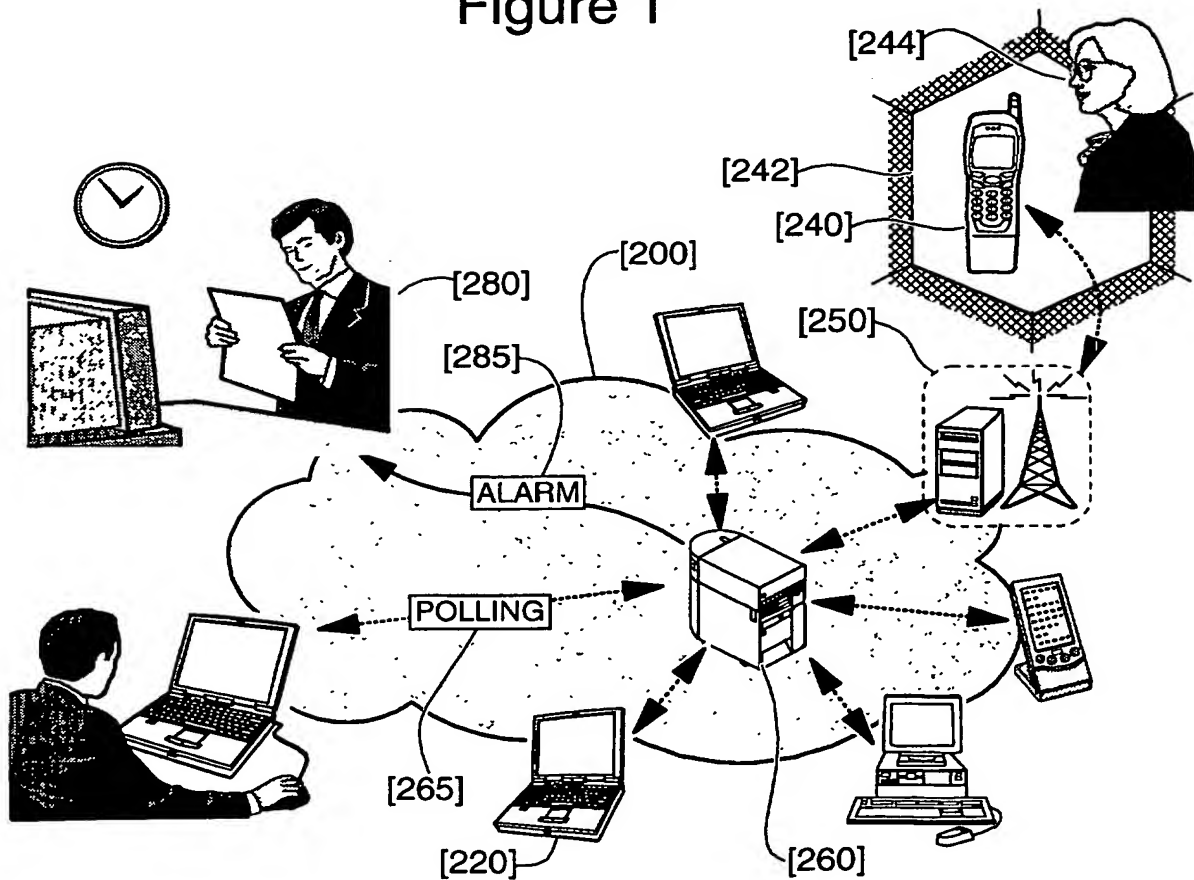


Figure 2

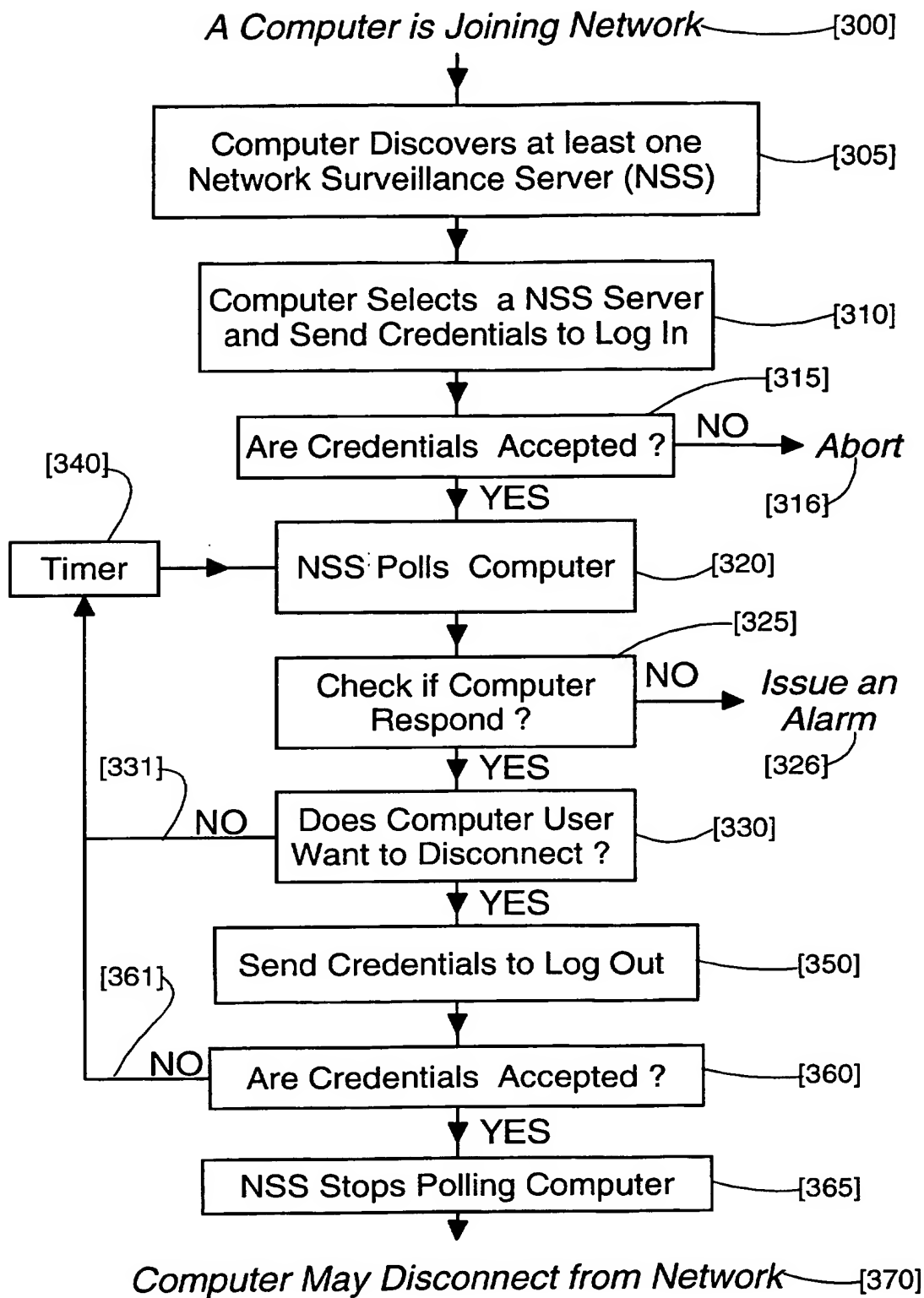


Figure 3

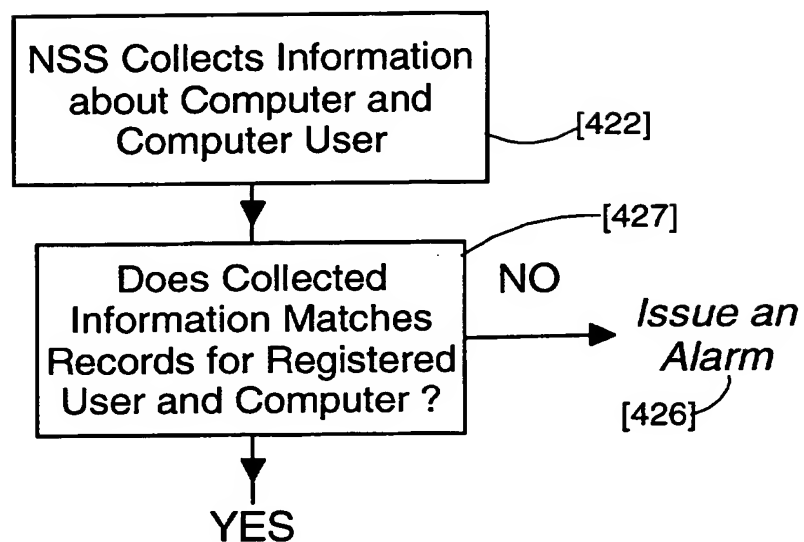


Figure 4

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